

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
RONALD A. HOLLAND)
)
Filed: Concurrently herewith)
)
For: AUTOMATIC DUAL-FUNCTION)
CLUTCH)



CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EL890753502US
Date of Deposit: September 22, 2003

I hereby certify that the following:

- This Certificate of Express Mailing;
- Transmittal letter;
- Patent Application (13 pages);
- Patent Transmittal (3 pages);
- Drawings (2 sheets);
- Declaration and Power of Attorney for Utility Patent Application (2 pages);
- One Check: (1) \$417.00 for Patent Application filing fee;
- Fee Transmittal (1 page)
- Return postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to the Commissioner for Patents, Box PATENT APPLICATION, P.O. Box 1450, Alexandria, Virginia 22313-1450.

A handwritten signature in cursive script that reads "Matthew Rychner".

Type Name: Matthew Rychner
Signature of Person Making Deposit

Robert G. Lancaster, Esq.
BRYAN CAVE LLP
One Metropolitan Square
211 North Broadway, Suite 3600
St. Louis, MO 63102-2750
(314) 259-2207



Express Mail Label No.: EL890753502US
Docket No.: C043770/0126119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Ronald A. Holland)
Filed Concurrently Herewith on)
September 22, 2003)
For: AUTOMATIC DUAL-FUNCTION)
CLUTCH)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the utility patent application of Ronald A. Holland for "AUTOMATIC DUAL-FUNCTION CLUTCH"

1. ☒ The application has 13 pages (including claim pages and abstract).
2. ☒ Two (2) sheets of drawings are enclosed. The drawings are:
 - a. ☒ formal; or
 - b. ☐ informal; formal drawings will be submitted in due course.
3. ☒ The declaration and power of attorney
 - a. ☒ has been executed by the inventors; or
 - b. ☐ has not been executed by all the inventors. A signed declaration and power of attorney will be submitted in due course.
4. ☐ An associate power of attorney is enclosed.
5. ☐ An assignment of the invention to _____ and a Recordation Form Cover are enclosed. Please record the Assignment and return it to the undersigned. A duplicate copy of this paper is enclosed.

- a. ☐ A check for \$40 to cover the recording fee is enclosed. See paragraph 10.c., below.
- b. ☐ Please charge the recording fee to our Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
6. ☐ Priority is hereby claimed under 35 USC §119 based _____; filed _____.
- a. ☐ A certified copy of each of the priority documents is enclosed.
- b. ☐ The certified priority document(s) will follow.
7. ☒ The filing fee is calculated below.

For	<u>Col. 1</u> No. Filed		<u>Col. 2</u> No. Extra	<u>Small Entity</u> Rate Fee	or	<u>Other Than A</u> <u>Small Entity</u> Rate Fee
Basic Fee:				375.00	or	N/A
Total Claims:	11	- 20 =	0	x 9 =0	or	N/A
Indep. Claims	4	- 3 =	1	x 42 =42	or	N/A
Multiple Dependent Claims Presented				N/A	or	N/A
Total: \$417.00						

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- a. ☒ A check for **\$417.00** to cover the filing fee is enclosed. See paragraph 10a and 10d, below.
- b. ☐ Please charge Deposit Account No. 02-4467 in the amount of \$____. A duplicate copy of this paper is enclosed.
9. ☐ A Preliminary Amendment is enclosed.
- a. ☐ No additional fee is due.
- b. ☐ A check in the amount of \$_____ to cover the cost of additional claims is enclosed. See paragraph 10.a., below.
- c. ☐ Please charge our Deposit Account 02-4467 in the amount of \$____. A duplicate copy of this paper is enclosed.

10. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or with recording any Assignment concerning it, or to credit any overpayment, to Deposit Account No. 02-4467, unless otherwise paid by check.
- a. ☒ If our check is missing or otherwise insufficient, or if any additional fees are required, the Commissioner is authorized to charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
 - b. ☒ Any additional filing or other fees required under 37 CFR Section 1.16, including any fees for presentation of extra claims.
 - c. ☒ Any patent application processing fees under 37 CFR Section 1.17.
 - d. ☐ Any additional Assignment recording fees under 37 CFR Section 1.21(h).

Note: See 37 CFR §1.311(b) regarding authorization to pay the issue fee from deposit account.

11. ☐ An Information Disclosure Statement is enclosed.

Respectfully submitted,

By:



Robert G. Lancaster, Reg. No. 43,736
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September 22, 2003

Express Mail No.: EL890753502US

Commissioner for Patents
Box PATENT APPLICATION
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Invention: Automatic Dual Function Clutch
 Inventor: Ronald A. Holland
 Our Reference Number: C043770/0126119

Sir:

We are enclosing papers on behalf of applicant for the above-referenced patent application.
The papers are:

1. Certificate of Express Mailing;
2. Transmittal letter (1 page, in duplicate);
3. Patent Application (13 pages);
4. Patent Transmittal (3 pages);
5. Drawings (2 sheets)
6. Declaration and Power of Attorney (2 pages)
7. Check in the amount of \$410.00;
8. Fee Transmittal (1 page); and
9. Return postcard.

If our check is missing or is insufficient, please charge any additional fee or credit any overpayment to Deposit Account No. 02-4467. Kindly date-stamp and return the enclosed self-addressed, stamped postcard.

Respectfully submitted,

Robert G. Lancaster
RGL/kh
Enclosures

Bryan Cave LLP
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In Association With
Bryan Cave (Illinois)
Chicago

and Bryan Cave,
A Multinational
Partnership
London

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 417.00

Complete if Known

Application Number
Filing Date September 22, 2003
First Named Inventor Ronald A. Holland
Examiner Name unknown
Art Unit unknown
Attorney Docket No. C043770/0126119

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 02-4467
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	375.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 375.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
11	-20** = 0	X 0	=
4	-3** = 1	X 42	= 42.0
Multiple Dependent		42	= 417.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 417.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 417.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Robert G. Lancaster Registration No. 43,736 Telephone 314-259-2207
Signature [Signature] Date 9/22/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.